

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Takeshi Nogami et al.      **Atty. Docket No.** 075834.00509

**Serial No.:** Herewith      **Group Art Unit:** Herewith

**Filed:** Herewith      **Examiner:** Herewith

**Invention:** ELECTROLYTIC POLISHING APPARATUS,  
ELECTROLYTIC POLISHING METHOD, AND  
WAFER SUBJECT TO POLISHING

**Customer No.:** 33448

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
Alexandria, VA 22313-1450

SIR:

In accordance with 37 CFR §§1.97, 1.98, Applicants and the undersigned attorney hereby submit legible copies of the patents listed on the accompanying form PTO/SB/08A.

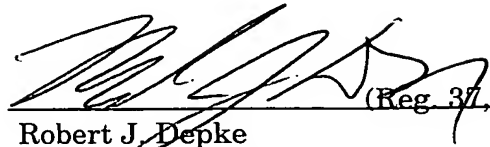
**CERTIFICATION UNDER 37 C.F.R. §1.97(e)**

Applicants hereby certify, as is required under 37 C.F.R. §1.97(c)(1) and §1.97(e), that each item of information contained in the Information Disclosure Statement is submitted prior to receipt of a First Office Action on the merits and thus no fee is due for consideration of this document.

The undersigned also points out that the submission of these documents is neither a concession nor admission that the documents qualify as prior art for this application under the patent laws of the United States. Applicants reserve the right to challenge the status of any such document that the office determines to be prior art.

Respectfully submitted,

Date: 4/12/04

 (Reg. 37,607)

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
		Application Number			
		Filing Date		4-13-04	
		First Named Inventor		Takeshi Nogami	
		Group Art Unit			
		Examiner Name			
		Attorney Docket Number		075834.00509	
Sheet	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	US1	5,217,586		Datta et al.	6/8/1993	
	US2	6,051,116		Ichinose et al.	4/18/2000	
	US3	6,110,347		Arao et al.	8/29/2000	
	US4	6,204,519		Yamazaki et al.	3/20/2001	
	US5	6,299,741		Sun et al.	10/9/2001	
	US6	2003/0136684		Duboust et al.	7/24/2003	
	US7					
	US8					
	US9					
	US10					
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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Examiner Signature		Date Considered	
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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